

Self-responsibility form of ethical issues and data processing in R&I projects

Self-assessment of main ethical issues in human participation and data processing in UOC R&I projects. The official form is available in the Services for research catalogue on the virtual campus.

This guide is intended to help you to prepare your responses in advance. This document cannot be submitted as an ethical protocol. It is mandatory to submit the corresponding form online.

Main details	
Name of project or funding proposal	
Principal investigator	
Project type	
Research group/Faculty	
AIR internal code	
Language of favourable opinion	

Please answer the following questions regarding your research proposal.

- If you answer YES to any of the questions, do not complete the rest of the form. Instead, you must submit the **ethics and data protection protocol form for R&I proposals and projects**.
- If there are no ethical or personal data considerations, you should sign the section stating this at the end of the form.

Research with human participants		
Identification of participation type	YES	NO
Does the research team require access to data that identifies participants?		

Data protection		
Personal data processing	YES	NO
Is identification or personal data collected and processed?		
Is data collected via social media?		
Is the data of people from countries outside the European Union processed? Is the international transfer of personal data outside the European Union anticipated?		
Will personal data be processed to profile, categorize/segment, rate/score or make decisions?		
Data processing for observing, supervising and monitoring the interested parties on social networks or in public access areas?		
Does the data processing include large-scale processing?		
Is it planned to disclose the data collected to third parties or other organizations?		

- ☐ The applicant states that none of the above cases apply in their research proposal.
- ☐ The applicant undertakes to comply with the ethical principles and regulations governing research activities.
- ☐ The applicant hereby declares that their research does not involve human participants or personal data processing.